Attorney Docket No.

PTO/SB/05 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

First Inventor Shridhar P. Joshi Title **GAMING MACHINE WITH SWEEPSTAKES ENTRY DISPENSER** 

47079-0117

ADDRESS TO:

11. □ 12. ⊠

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EH323733740US filed September 27, 2001 **Assistant Commissioner for Patents** 

APPLICATION ELEMENTS							
See MPEP chapter 600 concerning utility patent application contents.							
Fee Transmittal Form  (Submit an original, and a duplicate for fee processing)							
Applicant claims small entity status.							
See 37 CRF 1.27.							
Specification [Total Pages 13]     (preferred arrangement set forth below)     - Descriptive title of the Invention							
<ul> <li>Cross References to Related Applications</li> </ul>							
<ul> <li>Statement Regarding Fed sponsored R &amp; D Invention</li> <li>Reference to Microfiche Appendix</li> </ul>							
- Background of the Invention							
<ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> </ul>							
- Detailed Description							
- Claim(s)							

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

Computer Readable Form (CRF) Specification Sequency Listing on: ☐ CD ROM or CD-R (2 copies); or paper

**Accompanying Application Parts** Assignment Papers (cover sheet & document(s))

English Translation Document (if applicable)

**Box Patent Application** Washington, DC 20231

Statement verifying identity of above copies

Power of Attorney

Citations

	- Detailed Description	
	- Claim(s)	
_	- Abstract of the Disclosure	
	Drawing(s) (35 USC 113)	[Total Sheets 3]
	Oath or Declaration	[Total Pages 3]
	a. Newly executed (original or	сору)
	b. Copy from a prior application (for continuation/divisional with Box	
	i. DELETION OF INVENT	OR(S)

	Statement (IDS)/PTO-1449
13. 🔲	Preliminary Amendment
	Return Receipt Postcard (MPEP 503)
	(Should be specifically itemized)

37 CFR 3.73(b) Statement

(when there is an assignee)

Information Disclosure

	ntinuation/divisional with Box 17 completed)
i. 🔲	DELETION OF INVENTOR(S)
	Signed statement attached deleting
	inventor(s) named in the prior application.

15.	Certified Copy of Priority Document(s)
	(if foreign priority is claimed)
16	Monnublication Dogwood under 25 LLC C

see 37 CFR 1.63(d)(2) and 1.33(b).

16.	
	(b)(2)(B)(i). Applicant must attach form PTO/SB/35
	or its equivalent.
17	□ Other

6. Application Data Sheet. See 37 CFR 1.76

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a commonia Ai	LIOATION, CHECK	appropriate box and supply the requisite information below and in a preliminary amendment, or in an
Application Data Sheet under 37 C	FR 1.76:	
☐ Continuation	☐ Divisional	Continuation-in-part (CIP) of prior application No:/, filed
Prior application Information:	Examiner	Group/Art Unit:
considered a part of the disclosure of	of the accompanying con	entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is stimuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be from the submitted appplication parts.
		18. CORRESPONDENCE ADDRESS

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an

considered a part of the discussive of the accompanying continuation of divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted appplication parts.									
18. CORRESPONDENCE ADDRESS									
Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or New correspondence address below									
NAME	Michael J. Blankstein								
ADDRESS	WMS Gaming Inc.								
	800 South Northpoint Boulevar	·d							
CITY	Waukegan	STATE	IL	ZIF	CODE	60085			
COUNTRY	USA	TELEPHONE	(773) 961-1480		FAX	(773) 961-1237			
Name (Print/Type)	Michael J. Blankstein		Registration I	Vo. (Attorn	ey/Agent	) 37,097			
Signature	Mutul B	lealet		Date	Sept	ember 27, 2001			

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision

(\$) 858

TOTAL AMOUNT OF PAYMENT

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	Complete if Known									
	Application Number									
	Filing Date	September 27, 2001								
	First Named Inventor	Shridhar P. Joshi								
	Examiner Name									
	Group Art Unit									
	Attorney Docket Number	47079-0117								

METHOD OF PAYMENT (check one)								FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated						3. ADDITIONAL FEES							
	fees and credit	t any over	payme	nts to:	·		Large	Entity					
Deposit Account Number 232409/(0117)							Fee	Fee	Fee	Fee			
Deposit Acc	ount Name	Willia	ıms E	lectror	ics G	ames Inc.	Code Paid	(\$)	Code	(\$)	Fee Description	Fee	
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_ ~	Any Additional 7 CFR 1.16 and		ired				127	50	227		Surcharge - late provisional filing fee or cover sheet		
	nt claims small		us				139	130	139	130	Non-English specification		
	CFR 1.27							2,520	147		For filing a request for ex parte		
2.	Payment E	nclosed	l:								reexamination		
 ☐ Chec	<u> </u>	it card	_	loney C	rder	Other	112	920	112	920	Requesting publication of SIR prior to Examiner action		
							113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
	FE	E CALC	CULA	TION			115	110	215	55	Extension for reply within first month		
1 RAS	IC FILING	FFF					116	390	216	195	Extension for reply within second month		
Large Entity	Small						117	890	217	445	Extension for reply within third month		
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101 710	201	355	Litilit	Utility filing fee		<u>710</u>	119	310	219	155	Notice of Appeal		
106 320	206	160		Design filing fee		7.10	120	310	220	155	Filing a brief in support of an appeal		
107 490	207	245					121	270	221	135	Request for oral hearing		
107 490	207	∠45 355		Plant filing fee Reissue filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding		
114 150	214	75		isional filir			140	110	240	55	Petition to revive - unavoidable		
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							143	440	243		Design issue fee		
2. <b>EXT</b>	RA CLAIM	FEES					144	600	244	300	Plant issue fee		
		<i>- ,</i>		ee from		Fee Paid	122	130	122	130	Petitions to the Commissioner		
		Extra		below			123	50	123	50	Processing fee under 37 CFR 1 17(q)		
Total Claims Independent	26 -20 = 3 - 3 =	6 0	X X	<u>18</u> 80	=	<u>108</u> 0	126	180	126	180	Submission of Information Disclosure Statement		
Claims						_	581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40</u>	
	ndent Claims		Χ.		=		146	710	246	355	Filing a submission after final rejection		
Large Entity Fee Fee	Small Fee	Entity Fee	Fee	Descripti	on						(37 CFR 1.129(a))		
Code (\$)	Code	(\$)		•			149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))		
103 18	203	9		ns in exce			179	710	279	355	Request for Continued Examination		
102 80	202	40	Inde	pendent c	aims in	excess of 3					(RCE)		
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** or number previously paid, if greater, For Reissues, see above													

SUBMITTED BY C					Complete (if applicable)
Typed or Printed Name	Michael J. Blankstein	Registration No. (Attorney/Agent)	37,097	Telephone	(773) 961-1480
Signature	Muchael B	landet		Date	September 27, 200